

# Anomaly-Induced Inflation

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It would be interesting to have a natural mechanism for inflation based on the vacuum **quantum effects of matter fields.**

Gravity is not quantized!

## **Modified Starobinsky model.**

We can describe two phases of inflation:

- 1) Initial period of inflation: **matter fields are approximately massless;**
- 2) Final phase: **masses become relevant.**

## I. Free (or AF) Massless Fields.

$N_0$  scalars,  $N_{1/2}$  fermions,  $N_1$  vectors

**Notice:** Vacuum quantum effects come from **virtual** particles.  $N_{0,1/2,1}$  have **no relation to the real matter** in the Universe.

Classical vacuum action of conformal theory

$$S_{vac} = \int d^4x \sqrt{-g} \{ l_1 C^2 + l_2 E + l_3 \square R \} .$$

$C = C_{\mu\nu\alpha\beta}$  is Weyl tensor,

$E = R_{\mu\nu\alpha\beta}^2 - 4R_{\mu\nu}^2 + R^2$  is Gauss-Bonnet term.

$S_{vac}$  does not affect cosmological solution.

Quantum correction: **Conformal Anomaly**

$$T = \langle T_{\mu}^{\mu} \rangle = - (wC^2 + bE + c \square R) ,$$

$w, b, c$  are  $\beta$ -functions for  $l_1, l_2, l_3$

$$\begin{pmatrix} w \\ -b \\ c \end{pmatrix} = \frac{1}{360(4\pi)^2} \begin{pmatrix} 3N_0 + 18N_{1/2} + 36N_1 \\ N_0 + 11N_{1/2} + 62N_1 \\ 2N_0 + 12N_{1/2} - 36N_1 \end{pmatrix}$$

**Remark:** Alternating sign for  $c$ .

Recent investigation of  $\square R$ -type ambiguity:

M. Asorey, E. Gorbar & I.Sh. Clas.Q.Gr.(2003).

## Anomaly-Induced Effective Action (EA)

$$-\frac{2}{\sqrt{-g}} g_{\mu\nu} \frac{\delta \bar{\Gamma}_{ind}}{\delta g_{\mu\nu}} = T.$$

(Reigert, Fradkin & Tseytlin, 84)

The EA **is exact** solution for FRW metric

$$\begin{aligned} \bar{\Gamma}_{ind} = & S_c[\bar{g}_{\mu\nu}] + \int d^4x \sqrt{\bar{g}} \{ w\sigma \bar{C}^2 + b\sigma (\bar{E} - \frac{2}{3} \square \bar{R}) \\ & + 2b\sigma \bar{\Delta} \sigma \} - \frac{3c - 2b}{36} \int d^4x \sqrt{\bar{g}} R^2, \end{aligned}$$

where  $g_{\mu\nu} = a^2(x) \bar{g}_{\mu\nu}$ ,  $a^2(x) = e^{2\sigma(x)}$ ,  
 $S_c[g_{\mu\nu}]$  an arbitrary conformal functional,  
 $\Delta = \square^2 + 2R^{\mu\nu} \nabla_\mu \nabla_\nu - \frac{2}{3} R \square + \frac{1}{3} (\nabla^\mu R) \nabla_\mu$ .

Local covariant solution via auxiliary fields  
(A.Jacksenaev & I.Sh., Phys.Lett.B, 1994)

$$\begin{aligned} \Gamma_{ind} = & S_c - \frac{3c - 2b}{36} \int_x R^2 + \frac{1}{2} \int_x \{ \varphi \Delta \varphi - \psi \Delta \psi \\ & + \varphi \left[ \sqrt{-b} (E - \frac{2}{3} \square R) - \frac{w}{\sqrt{-b}} C^2 \right] + \frac{w}{\sqrt{-b}} \psi C^2 \}. \end{aligned}$$

The most useful form of the vacuum EA for the conformal matter fields.

## Cosmological Model based on the action

$$S_{total} = -\frac{M_P^2}{16\pi} \int d^4x \sqrt{-g} (R + 2\Lambda) \\ + S_{matter} + S_{vac} + \bar{\Gamma}_{ind}.$$

Equation of motion in phys. time  $dt = a(\eta)d\eta$

$$\frac{\ddot{a}}{a} + \frac{3\dot{a}\ddot{a}}{a^2} + \frac{\ddot{a}^2}{a^2} - \left(5 + \frac{4b}{c}\right) \frac{\ddot{a}\dot{a}^2}{a^3} - 2k \left(1 + \frac{2b}{c}\right) \frac{\ddot{a}}{a^3} \\ - \frac{M_P^2}{8\pi c} \left(\frac{\ddot{a}}{a} + \frac{\dot{a}^2}{a^2} + \frac{k}{a^2} - \frac{2\Lambda}{3}\right) = 0,$$

where  $k = 0, \pm 1$ ,  $\Lambda$  – cosmological constant.

Particular solutions (Starobinsky, Ph.L.B., 1980)

$$a(t) = a_0 \begin{pmatrix} \exp[Ht], & k = 0 \\ \cosh[Ht], & k = 1 \\ \sinh[Ht], & k = -1 \end{pmatrix},$$

the Hubble parameter  $H = \dot{a}/a$  is

$$H = \frac{M_P}{\sqrt{-32\pi b}} \left(1 \pm \sqrt{1 + \frac{64\pi b}{3} \frac{\Lambda}{M_P^2}}\right)^{1/2}$$

For  $0 < \Lambda \ll M_P^2$  there are two solutions:

$$H \approx \sqrt{\Lambda/3} \quad (IR)$$

$$H \approx \sqrt{-\frac{M_P^2}{16\pi b} - \frac{\Lambda}{3}} \approx \frac{M_P}{\sqrt{-16\pi b}} \quad (UV)$$

Perturbations of the conformal factor:

$$\sigma(t) \rightarrow \sigma(t) + y(t).$$

**The criterion for a stable inflation**

$$c > 0 \quad \iff \quad N_1 < \frac{1}{3} N_{1/2} + \frac{1}{18} N_0,$$

in agreement with Starobinsky.

**Remarks.** The value of  $\Lambda$  and the choice of  $k = 0, \pm 1$  do not influence the stability condition.

**Unstable case:** one can fine-tune the initial conditions such that the universe performs sufficient inflation and then graceful exit to the FRW behaviour.

**Stable case:** no fine-tuning is needed. The Universe starts inflation from an arbitrary position at the phase plane.

## The original Starobinsky model

M.V. Fischetti, J.B. Hartle & B.L. Hu,  
Phys.Rev. **D20** (1979) 1757;

A.A. Starobinski, Phys.Lett. **91B** (1980) 99;

V.F. Mukhanov & G.V. Chibisov, JETP Lett.  
33 (1981) 532; JETP (1982) 258;

A.A.Starobinski, Let.Astr.Journ. 9 (1983)  
579;

A. Vilenkin, Phys. Rev. **D32** (1985) 2511;

P. Anderson, Phys. Rev. **D28** (1983) 271;  
**D29** (1984) 615; **D29** (1986) 1567.

**is based on the unstable case**  
and involves **heavy fine-tunings**.

**Our purpose is to avoid fine-tunings at all.**

This seems to be possible if we use the  
notions of Effective Quantum Fields theory,  
that is take care on separating the **light** and  
**heavy** degrees of freedom.

**Simple test of the model.** Late Universe,  
 $k = \Lambda = 0$ . Only photon is active

$$N_0 = 0, \quad N_{1/2} = 0, \quad N_1 = 1.$$

The typical energy is  $H_0 \approx 10^{-42} \text{ GeV}$ ,  
 therefore all massive particles (even neutrino)  
 $m_\nu \geq 10^{-12} \text{ GeV}$  **decouple** from gravity.

Recent study of decoupling in gravity:  
 E.Gorbar & I.Sh, JHEP (2003, 2004).

$c < 0 \implies$  **today inflation is unstable.**

Consider  $a(t) \sim t^{2/3}$  in

$$\frac{\ddot{a}}{a} + \frac{3 \dot{a} \ddot{a}}{a^2} + \frac{\ddot{a}^2}{a^2} - \left(5 + \frac{4b}{c}\right) \frac{\ddot{a} \dot{a}^2}{a^3} - \frac{M_P^2}{8\pi c} \left(\frac{\ddot{a}}{a} + \frac{\dot{a}^2}{a^2}\right) = \frac{\rho_m a^{-3}}{c}.$$

$$t \rightarrow \infty \quad \left\{ \begin{array}{l} \text{classical terms} \sim 1/t^2 \\ \text{quantum corrections} \sim 1/t^4 \end{array} \right.$$

**Late universe: quantum effects irrelevant.**

**Second test.**  $H_o \approx \sqrt{\frac{\Lambda}{3}}$  without matter.

A.Pelinson,I.Sh.,F.Takakura, N.Ph.B(2003).

Recent SN data indicate  $\rho_{vac} \approx 10^{-47} GeV^4$ .

$H \rightarrow H_o + const \cdot e^{\lambda t} \implies$

$$\lambda^3 + 7H_o\lambda^2 + \left[ \frac{(3c-b)4H_o^2}{c} - \frac{M_P^2}{8\pi c} \right] \lambda - \frac{32\pi bH_o^3 + M_P^2H_o}{2\pi c} = 0.$$

The solution for  $\Lambda = H_o = 0$  is

$$\lambda_1^{(0)} = 0, \quad \lambda_{2/3}^{(0)} = \pm \frac{M_P}{\sqrt{8\pi|c|}} i.$$

Expanding in  $H_o/M_P \approx 10^{-61}$  :

$$\lambda_1 = -4H_o, \quad \lambda_{2/3} = -\frac{3}{2}H_o \pm \frac{M_P}{\sqrt{8\pi|c|}} i.$$

**$\Lambda > 0$  protects our world from quantum corrections!**



## Coming back to the Early Universe.

Stable inflation does not depend on initial data. Fine! **But how does it end?**

Suppose at **UV** ( $H \gg M_F$ ) there is **SUSY**, e.g. **MSSM** with a particle content

$$N_1 = 12, \quad N_{1/2} = 32, \quad N_0 = 104.$$

This provides **stable inflation**, because  $c > 0$

$$N_1 < \frac{1}{3} N_{1/2} + \frac{1}{18} N_0.$$

Similar for any realistic supersymmetric model.

Already for MSM inflation is unstable  $c < 0$ .

### Why inflation ends?

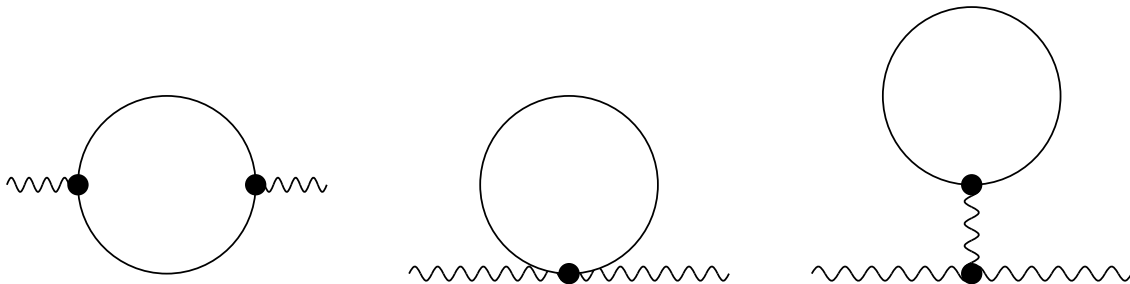
Because all **sparticles** are heavy  $\Rightarrow$  **decouple** at low energies, when  $H$  becomes smaller than the mass of the quantum field.

**Relevant** Feynman diagrams include loops of matter field & external lines of  $\sigma$ .

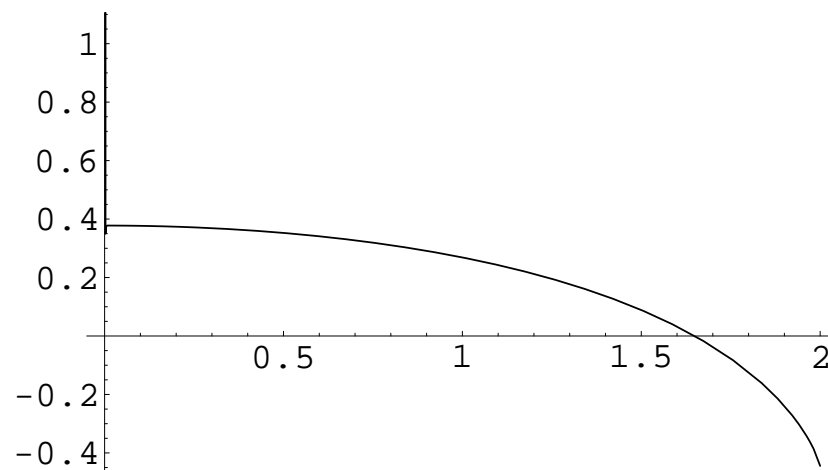
## Calculations for the linearized gravity

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}.$$

Corrections to the graviton propagator:



One of the results is the plot for  $c$  smoothly changing sign between **UV** and **IR** due to the  $s$ -particles decoupling in a models with **broken SUSY**. (Gorbar & Sh., 2003).



This property may provide interface between stable inflation in UV and the FRW-like evolution in IR.

## Is the semiclassical approximation reliable?

For MSSM  $H \approx \frac{M_P}{\sqrt{-16\pi b}} \propto 1$

while for SUSY GUT  $H \ll M_P$ .

## When inflation ends?

At  $H = M_* \geq M_F$ , because for MSM

$$N_{1,1/2,0} = (12, 24, 4) \implies c < 0.$$

Temperature after the end of inflation

$$M_* \propto M_F \implies T \sim \sqrt{M_* M_P} = 10^{11} \text{ GeV},$$

a standard estimate for the inflaton models.

If SUSY is broken at the GUT scale

$$M_* \propto 10^{14} \text{ GeV} \implies T \sim 10^{16} \text{ GeV}.$$

Inflation does not solve monopole problem.

**Inflation favors low-energy SUSY !**

## II. Massive fields.

Next question. **Why the energy scale  $H$  decreases during inflation?**

In the exponential phase Hubble parameter  $H(t) = \text{const}$  and does not decrease.

*Other unclear point.*

Using anomaly-induced EA for massive fields is not a correct approximation.

Maybe all difficulties can be solved if **taking masses of the fields into account?**

We developed (I.Sh. & J.Solà, Ph.L.B.,2002) reliable **Ansatz for the EA of massive fields**, based on the Cosmon Model

*R.D.Peccei, J.Solà, C.Wetterich, Ph.Lett. B 195(1987)183*

and on the “conformization” of GR

*S. Deser, Ann. Phys. 59 (1970) 248.*

The idea is to construct the **conformal formulation** of the SM and use it to derive EA for massive fields.

## Conformal formulation of gauge theory.

The conformally non-invariant terms:

$$\sqrt{-g} m_s^2 \varphi^2, \quad \sqrt{-g} m_f \bar{\psi} \psi$$

and

$$S_{EH} = -\frac{1}{16\pi G} \int d^4x \sqrt{-g} (R + 2\Lambda).$$

Replacing dim. parameters by new scalar  $\chi$ :

$$m_{s,f} \rightarrow \frac{m_{s,f}}{M} \chi, \quad M_P^2 \rightarrow \frac{M_P^2}{M^2} \chi^2, \quad \Lambda \rightarrow \frac{\Lambda}{M^2} \chi^2.$$

$M$  is related to a scale of conformal symmetry breaking. In the IR  $\chi \sim M$ .

Massive terms get replaced by Yukawa and (*scalar*)<sup>4</sup> type interactions with  $\chi$ .

In the gravity sector

$$\mathcal{L}_{EH}^* = -\frac{M_P^2}{16\pi M^2} \left\{ [R\chi^2 + 6(\partial\chi)^2] + \frac{2\Lambda\chi^4}{M^2} \right\}$$

in order to provide local conformal invariance.

The new theory is conformal invariant

$$\sigma = \sigma(x), \quad \begin{cases} \chi \rightarrow \chi e^{-\sigma}, & g_{\mu\nu} \rightarrow g_{\mu\nu} e^{2\sigma} \\ \varphi \rightarrow \varphi e^{-\sigma}, & \psi \rightarrow \psi e^{-3/2\sigma} \end{cases}$$

The conformal symmetry comes together with a new scalar  $\chi$ , absorbing conformal degree of freedom. Fixing  $\chi \rightarrow M$  we come back to original formulation.

The **conformal anomaly** becomes

$$\langle T \rangle = -\{wC^2 + bE + c\Box R + \frac{f}{M^2} [R\chi^2 + 6(\partial\chi)^2] + \frac{g}{M^4} \chi^4\},$$

$f, g$  are  $\beta$ -functions for  $1/16\pi G$  and  $\Lambda/8\pi G$ .

$$f = \sum_i \frac{N_f}{3(4\pi)^2} m_f^2, \quad \tilde{f} = \frac{16\pi f}{M_P^2},$$

$$g = \frac{1}{2(4\pi)^2} \sum_s N_s m_s^4 - \frac{2}{(4\pi)^2} \sum_f N_f m_f^4,$$

$N_f$  and  $N_s$  are multiplicities of the fields.

## Anomaly-induced EA

in terms of  $g_{\mu\nu} = \bar{g}_{\mu\nu} \cdot e^{2\sigma}$  and  $\chi = \bar{\chi} \cdot e^{-\sigma}$

$$\begin{aligned} \bar{\Gamma} = & S_c[\bar{g}_{\mu\nu}, \bar{\chi}] - \frac{3c + 2b}{36} \int d^4x \sqrt{-g} R^2 \\ & + \int d^4x \sqrt{-\bar{g}} \left\{ w\sigma \bar{C}^2 + b\sigma (\bar{E} - \frac{2}{3} \bar{\nabla}^2 \bar{R}) + 2b\sigma \bar{\Delta}\sigma \right. \\ & \left. + \frac{f}{M^4} \sigma [\bar{R}\bar{\chi}^2 + 6(\partial\bar{\chi})^2] + \frac{g}{M^4} \bar{\chi}^4 \sigma \right\}. \end{aligned}$$

This may be seen as a generalization of Renorm. Group. In curved space-time RG corresponds to the scaling  $g_{\mu\nu} \rightarrow g_{\mu\nu} \cdot e^{-2\tau}$  :

$$\Gamma[e^{-2\tau} g_{\alpha\beta}, \Phi_i, P, \mu] = \Gamma[g_{\alpha\beta}, \Phi_i(\tau), P(\tau), \mu],$$

In the leading-log approximation we meet the RG improved classical action of vacuum

$$S_{vac}[g_{\alpha\beta}, P(\tau), \mu], \quad \text{where} \quad P(\tau) = P_0 + \beta_P \tau.$$

We observe 100% **equivalence** in all terms which do not vanish for  $\sigma = \tau = \text{const.}$

## Cosmological implications of the quantum effects of massive particles

$$S_t = S_{matter} + S_{EH}^* + S_{vac} + \bar{\Gamma}.$$

The equation of motion for  $\Lambda = 0, g = 0$

$$a^2 \ddot{a} + 3 a \dot{a} \dot{a} - \left(5 + \frac{4b}{c}\right) \dot{a}^2 \ddot{a} + a \ddot{a}^2 - \frac{M_P^2}{8\pi c} (a^2 \ddot{a} + a \dot{a}^2) [1 - \tilde{f} \cdot \ln a] = 0,$$

Let us solve  $M_P^2 \rightarrow M_P^2 [1 - \tilde{f} \cdot \ln a]$ .

$$\dot{\sigma} = H = H_o \sqrt{1 - \tilde{f} \sigma(t)}, \quad H_o = \frac{M_P}{\sqrt{-16b}}.$$

leads to the simple solution

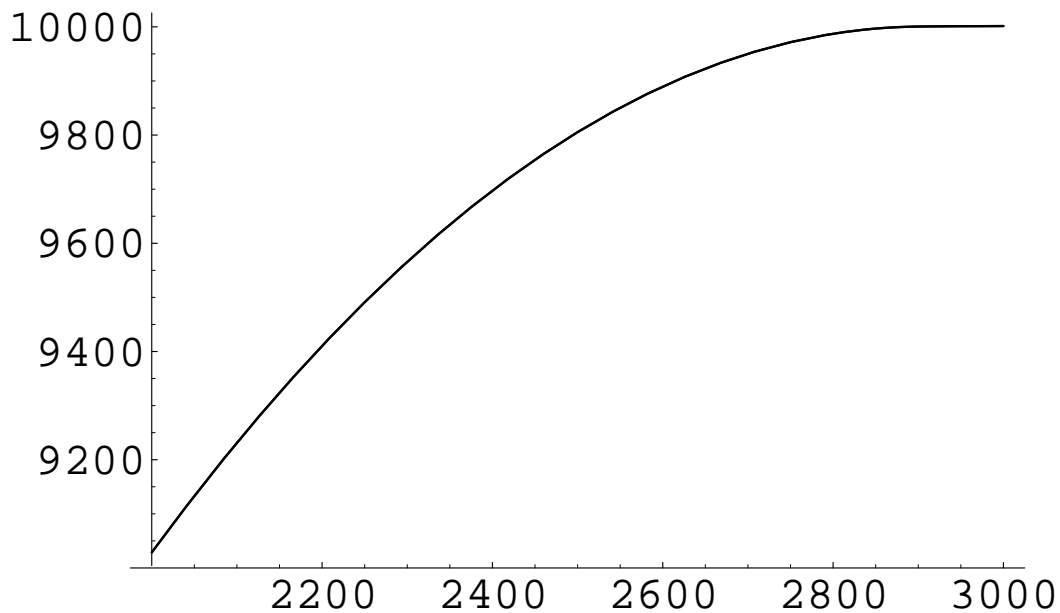
$$\sigma(t) = H_o t - \frac{H_o^2}{4} \tilde{f} t^2.$$

This formula fits with the numerical solutions with a wonderful  $10^{-6}$  precision!

$\tilde{f} > 0 \Rightarrow$  we arrive at **tempered inflation**.

**Stability** under  $\sigma \rightarrow \sigma + y(t)$  holds until **SUSY breaking** point  $H_f = M_*$ .





The relation

$$\sigma(t) = H_0 t - \frac{H_0^2}{4} \tilde{f} t^2.$$

can be used to evaluate a total number of the inflationary  $e$ -folds for different models.

MSSM with SUSY breaking at  $M_* \sim 1 \text{ TeV}$ .

$$\tilde{f} \sim (M_*/M_P)^2 = 10^{-32} \quad \implies$$

the total amount of the  $e$ -folds  $n_e \sim 10^{32}$ .

Indeed, only the last (at most 65)  $e$ -folds are relevant for observations.

The main difficulty of the original model:  
(Starobinsky, 1980; Vilenkin, 1985)

**non-stability under metric perturbations.**

*Let us see how it looks now.*

The consistency with CMB requires

$$H(t) \leq 10^{-5} M_P$$

in the **last** 65  $e$ -folds of inflation.

GW's emitted **before** are **unobservable**.

## **I. Creation of gravitational waves.**

$$H_f = H(t_f) = M_* \quad \sim \quad \text{to} \quad \sigma_f = \sigma(t_f).$$

$$H_i = H(t_i) \quad \sim \quad \sigma_i = \sigma_f - 65.$$

Simple calculus gives

$$H_i^2 = H_f^2 + \frac{65}{48\pi^2} \sum N_i m_i^2.$$

$H_i$  and  $H_f$  have the same order of magnitude.

The amplitude of the emitted waves can be consistent with CMBR without fine-tuning of any parameter.

## II. Amplification of the gravitational waves.

Approximations for the amplitude  $h = h(t, \vec{x})$ :

- (i)  $H(t) \approx \text{const}$ ;
- (ii)  $\sigma(t) = \ln a(t)$  is **huge** ( $10^{32}$  in MSSM).

- a) Independent on the class. action  $a_1 \int C^2$ ;
- b) **Doesn't depend on**  $S_c[g_{\mu\nu}]$
- c) Coefficients are constant to  $\mathcal{O}(1/\sigma_f)$ .

$$b_0 \ddot{h} + b_1 \dot{h} + b_2 \ddot{h} + b_3 \dot{h} + b_4 h + n_1 e^{-2\sigma} \nabla^2 \dot{h} + n_2 e^{-2\sigma} \nabla^2 \ddot{h} + n_3 e^{-4\sigma} \nabla^4 h = 0,$$

$$b_{0,1,2,3,4} = \left( w, 6Hw, 11H^2w, 6H^3w, -\frac{12H^4b}{\sigma_f} \right)$$

This equation leads to a flat spectrum below the trans-Planckian scales.

An elementary analysis indicates

**the absence of growing modes.**

Again, no special fine-tuning is required.

Similar results:

*A.A. Starobinski, Let. Astr. Journ. 9(1983)579.*

*S.W. Hawking, T. Hertog and H.S. Reall, Phys. Rev. D63 (2001) 083504.*

## Conclusions

1. Modified Starobinsky model is promising candidate to naturally describe inflation. The main advantage is no need for fine-tuning for initial data or for the inflaton potential. Actually, there is no inflaton, inflation is caused by quantum effects.
2. We have a nice link between UV and IR asymptotic states, with a stable  $\Lambda > 0$  universe in the last and stable tempered inflation in the former. The gravitational waves can be controlled, also without fine-tuning.
3. Small information is available about the most interesting intermediate state. In order to obtain this information one needs further development of the semiclassical approach. This represents a strong motivation for the future work.